



3628

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/665,237 |
| | Filing Date | 09/18/2000 |
| | First Named Inventor | Marvin T. Ling |
| | Art Unit | 3628 |
| | Examiner Name | Pedro R. Kanof |
| Total Number of Pages in This Submission | Attorney Docket Number | GTX-001 CIP1 31188-1030 |

| ENCLOSURES (Check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | 3 Cited References and Return Receipt Postcard. |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | Remarks | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Raphael A. Valencia, Esq. (Reg. No. 43,216) Luce, Forward, Hamilton & Scripps LLP |
| Signature | |
| Date | December 12, 2003 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|---|--------------|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | Sara R. Hart | | |
| Signature | | Date | December 12, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



GTX-001 CIP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling
Serial No. : 09/665,237
Filed : September 18, 2000
For : METHOD AND APPARATUS FOR CONDUCTING
ELECTRONIC COMMERCE TRANSACTIONS USING
ELECTRONIC TOKENS
Group Art Unit : 3628
Examiner : Pedro R. Kanof

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:


Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date, but before the mailing date of the first Office Action on the merits.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

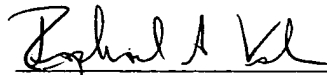
Date of Deposit: December 12, 2003

Signature:


Sara R. Hart

The Commissioner is hereby authorized to charge payment of any fees required in connection with the accompanying Information Disclosure Statement to Deposit Account No. 50-2298 in the name of Luce, Forward, Hamilton & Scripps LLP. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Raphael A. Valencia', is written over a horizontal line.

Raphael A. Valencia

Reg. No. 43,216

Attorney for Applicants

c/o Luce, Forward, Hamilton & Scripps, LLP
11988 El Camino Real, Suite 200
San Diego, California 92130
Phone: 858.720.6300
Fax: 858.523.4326



Atty. Docket No. GTX-001 CIP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling
Serial No. : 09/665,237
Filed : September 18, 2000
For: METHOD AND APPARATUS FOR CONDUCTING ELECTRONIC
COMMERCE TRANSACTIONS USING ELECTRONIC TOKENS
Group Art Unit : 3628
Examiner : Pedro R. Kanof

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby makes the documents identified on the enclosed form PTO/SB/08 (submitted in duplicate) of record in the above-identified application. A copy of the documents identified on the enclosed form PTO/SB/08 is submitted herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Raphaël A. Valencia, Reg. No. 43,216
Attorney for Applicants
c/o Luce, Forward, Hamilton & Scripps LLP
11988 El Camino Real, Suite 200
San Diego, California 92130
Phone: 858-720-6300; Fax: 858-523-4326



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|--|----------------------|----------------|
| Substitute PTO/SB-08 | Application Number | 09/665,237 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Filing Date | 09/18/2000 |
| | First Named Inventor | Marvin T. Ling |
| | Art Unit | 3628 |
| | Examiner Name | Pedro R. Kanof |
| | Atty. Docket Number | GTX-001 CIP1 |
| Sheet 1 of 1 | | 31188-1030 |

U.S. PATENT DOCUMENTS

| Examiner Initials | Document No. | Publication Date | Name of Patentee | Identification of Relevant Information |
|----------------------|------------------|---------------------|------------------------|---|
| | US 2003/0145234 | 07-31-2003 | Stangel | |
| | US- 6,473,740 B2 | 10-29-2002 | Cockrill <i>et al.</i> | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Document No. | Publication Date | Name of Patentee | Identification of Relevant Information |
|----------------------|----------------|---------------------|------------------|---|
| | WO 01/42946 A2 | 06-14-2001 | Stangel | |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.